

ADDENDUM TO CLIENT INFORMATION FORM

(INDIVIDUAL/JURIDICAL ACCOUNT)

This is to certify that _____ is a U.S. Person Not a U.S. Person
(name of Individual/Entity)

Signature over Printed Name - Individual/Authorized Signatory

Date (mm/dd/yyyy)

If U.S. person, kindly sign the Consent and Waiver Form below and submit a duly accomplished W-9 Form. If not, please accomplish and submit a W-8BEN/W-8BEN-E form.

CONSENT AND WAIVER (FOR U.S. PERSONS¹ UNDER FATCA) – (INDIVIDUAL/JURIDICAL ACCOUNT)

For purposes of **Allianz PNB Life Insurance, Inc.**'s compliance with the United States Foreign Account Tax Compliance Act (FATCA) to which I am/the Entity is subject as a U.S. Person, I/the Entity hereby signify/ies my/its:

- a) agreement and consent to the processing and updating of all information relative to my/its financial accounts² under R.A 10173 or the Data Privacy Act of 2012;
- b) consent and waiver of such rights and privileges under any other law, existing or prospective, of the Philippines which will require the issuance of a consent and/or waiver for the disclosure and/or processing of any and all information relating to my/its insurance policy/ies for purposes of compliance with FATCA; and
- c) acknowledgement and consent for **Allianz PNB Life Insurance, Inc.** to report and disclose to the US Internal Revenue Services (IRS) the following information (and/or such other information as may be required), relative to my insurance policy/ies pursuant to the FATCA US IRS Regulations (the "Required Information"):
 - i. The name, address and U.S. tax identification number (TIN);
 - ii. In the case of any account holder that is a U.S. entity with one or more U.S. owners, the name, address and TIN of each substantial U.S. owner of such entity;
 - iii. The policy number;
 - iv. The year-end cash surrender value, account balance or fund value; and
 - v. Gross receipts and gross withdrawals or payments from the policy. If **Allianz PNB Life Insurance, Inc.** is not already in possession of the Required Information, I/the Entity undertake/s to provide Allianz PNB Life Insurance, Inc. such information.

Further, I hereby undertake to indemnify and hold **Allianz PNB Life Insurance, Inc.** its directors, stockholders, officers, employees, representatives, agents or relevant units, free and harmless from and against all liabilities, claims, demands, actions, proceedings, losses, expenses and all other liabilities of whatsoever nature or description which may be suffered or incurred by **Allianz PNB Life Insurance, Inc.**, its directors, stockholders, officers, employees, representatives, agents or relevant units, arising from or in connection with the implementation of, or reliance on, this Consent and Waiver.

CONFORME:

CORPORATE ACCOUNT		CORPORATE ACCOUNT	
Name of Corporation _____	Name of Corporation _____	Name of Corporation _____	Name of Corporation _____
Authorized Signatory _____	Authorized Signatory _____	Authorized Signatory _____	Authorized Signatory _____
_____ Signature over Printed Name	_____ Signature over Printed Name	_____ Signature over Printed Name	_____ Signature over Printed Name
Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____
Name & Position of Authorized Signatory _____	Name & Position of Authorized Signatory _____	Name & Position of Authorized Signatory _____	Name & Position of Authorized Signatory _____
_____ Signature over Printed Name	_____ Signature over Printed Name	_____ Signature over Printed Name	_____ Signature over Printed Name
Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____	Date (mm/dd/yyyy) _____

¹AU.S. Personas defined in the FATCA means:

- An individual or an Entity
- A U.S. citizen (including dual citizenship)
- Holds a U.S. Passport
- A resident of the U.S.
- Was born in the U.S.
- A U.S. Permanent Resident Cardholder
- A partnership, corporation or association created or organized in the U.S. or under laws of the U.S.

- A person who has substantial presence in the U.S. ("Substantial presence" is defined as more than 31 days in the current calendar year or a total of 183 days over the previous three years from the current tax year.)
- As evidence by "Indicia" of the U.S. account (U.S. citizen; U.S. place of birth; U.S. Tel. No.; Standing instruction to pay amounts to an authority maintained in the U.S. or to transfer funds to an account maintained in the U.S. or directions received from a U.S. address; Current power of Attorney or signatory granted to a person with a U.S. address, a "in care of" or an "hold mail" address that is the sole address identified for the account).

²The term means (1) any depository account maintained by Foreign Financial Institution (FFI); (2) any custodial account maintained by the FFI; and (3) a non-publicly traded debt or equity interest in the FFI.

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Allianz PNB Life Insurance, Inc.

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